



3713
#2/S-3102

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Siani Lynne Pearson,) Re: Information Disclosure
et al.) Statement
Serial No.: 10/080,478) Group: 3713
Filed: February 22, 2002) Examiner: not yet assigned
For: "METHOD AND APPARATUS FOR) Our Ref: B-4519 6195659
INVESTIGATING TRANSACTIONS IN)
A DATA PROCESSING ENVIRONMENT") Date: April 19, 2002

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

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Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the British Search Reports of related United Kingdom application nos. GB 0104670.5, GB 0104673.9 and GB 0104584.8 (1 page each). The documents also include those cited in the International Search Reports of PCT Application No. PCT/GB00/02004 (4 pages) and PCT Application No. PCT/GB00/02003 (4 pages). A copy of each Search Report is enclosed herewith.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the

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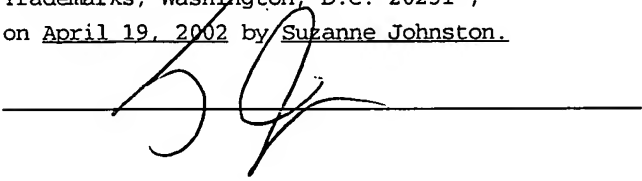
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merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in a package addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231", on April 19, 2002 by Suzanne Johnston.



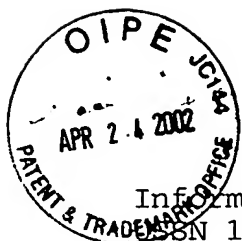
Respectfully submitted,



Richard P. Berg
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Enclosures: Form PTO-1449 (modified) (2 pages)
Copies of Search Reports (11 pages)
Copy of documents listed on Form PTO-1449 (modified)



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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4519 619565-9	U.S. SERIAL NO. 10/080,478
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Siani Lynne Pearson, et al.	
	FILING DATE February 22, 2002	GROUP 3713

U.S. PATENTS DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,359,659	10/94	Rosenthal	380	4	
	5,404,532	4/95	Allen et al.	395	700	
	5,421,006	5/95	Jablon et al.	395	575	
	5,572,590	11/96	Chess	380	4	
	5,619,571	4/97	Sandstrom et al.	380	4	
	5,809,145	9/98	Slik et al.	380	25	
	5,844,986	12/98	Davis	380	4	
	6,330,670 B1	12/01	England et al.	713	2	1/8/99

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
2,187,855	6/97	CA			
0 304 033 A2	2/89	EP			
0 465 016 B1	12/98	EP			
0 825 511 A2	2/98	EP			
0 849 657 A1	6/98	EP			
0 895 148 A1	2/99	EP			
1 030 237 A1	8/00	EP			
1 056 014 A1	11/00	EP			
2 336 918	11/99	GB			
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	95/24696	9/95	WO			
	95/27249	10/95	WO			
	97/29416	8/97	WO			
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	98/40809	9/98	WO			
	98/45778	10/98	WO			
	00/54126	9/00	WO			
	01/23980	4/01	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"System for Detecting Undesired Alteration of Software," <i>IBM Technical Disclosure Bulletin</i> , Vol. 32, No. 11, pp. 48-50 (April 1990).
	Bontchev, V., "Possible Virus Attacks Against Integrity Programs and How to Prevent Them," <i>Virus Bulletin Conference</i> , pp. 131-141 (September 1992).
	Radai, Y., "Checksumming Techniques for Anti-Viral Purposes," <i>Virus Bulletin Conference</i> , pp. 39-68 (September 1991).
	Intel, "Wired for Management Baseline specification v2.0," <i>Boot Integrity Services Application Programming Interface Version 1.0</i> , 64 pages (December 28, 1998).
	"Information technology-Security techniques-Entity Authentication - Part 3: Mechanisms using digital signature techniques," <i>ISO/IEC 9798-3</i> , 6 pages (1998).
	"Information technology-Security techniques-Key management - Part 3: Mechanisms using asymmetric techniques," <i>ISO/IEC 11770-3</i> , pp. 1-23 and Annexes A-E (1999).
	The Trusted Computing Platform Alliance, "Building a Foundation of Trust in the PC," 9 pages, located at Internet address www.trustedpc.org/home/home.html (January 2000).
	Trusted Computing Platform Alliance, Main Specification Version 1.0, 284 pages (January 25, 2001).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.